

Mackenzie Financial Corporation (“Mackenzie”) may collect information on prospective investors and other interested parties and their representatives, which may constitute personal data within the meaning of the EU’s General Data Protection Regulation.

If Mackenzie believes you are a business contact who may be interested in thought leadership or marketing information, we may use your personal information from time to time to inform you by letter, telephone, email or other electronic methods, about similar products and services which may be of interest to you. You may at any time request a change to your marketing preferences by following instructions in communications from us or by contacting us directly as described below.

We are obliged to obtain personal data, and in some cases documentation validating that personal data, in order to comply with the legal, regulatory and contractual obligations listed below:

- to manage and administer client trading accounts;
- to facilitate ongoing communications with clients, investors and other interested parties in relation to our trading strategies and investment vehicles;
- to maintain records of attendance at training events and seminars;
- to comply with legal, tax and regulatory obligations applicable to investors and Mackenzie;
- to mitigate against fraud and other criminal activity.

We will not conclude a trading account agreement with any prospective client where such information is not provided by the client.

We share personal data with associated companies in Canada. Data will be shared for legitimate business interests and to comply with regulatory obligations, for example to comply with EU and Canadian anti-money laundering obligations.

We may share personal data with third parties and contractors who supply certain data processing services to Mackenzie. Such data processors are required to maintain the confidentiality of the data and process it only in accordance with our documented instructions, except where otherwise required by law. Other recipients of personal data may include regulatory and tax authorities in Canada and other third countries where either a regulatory or taxation obligation for Mackenzie and associated companies, or its clients exists. Mackenzie’s auditors may also be a recipient of personal data to the extent that it requires such data for the purposes of auditing the company’s financial statements and gathering sufficient evidence to support the opinion expressed thereon.

Personal data will be held in accordance with the Information Security Policy of Mackenzie, which sets out the physical, electronic and procedural safeguards to protect and restrict access to personal data. Education and training are provided to relevant staff regarding data protection obligations. Client data will be held for the retention periods required by applicable laws. Where personal data is held solely on the basis of your consent (typically for the purposes of marketing communications), such data will be deleted upon the withdrawal of consent by you.

You have a right of access to your personal data kept by Mackenzie and the right to amend, rectify any inaccuracies, or request the deletion of your personal data by making a request to the Privacy Compliance Officer, Mackenzie Investments, by mail at 180 Queen Street West, Toronto, Ontario, Canada M5V 3K1, or by email at privacy@mackenzieinvestments.com. You may request your data in a portable electronic form, but Mackenzie is not obliged to comply with requests for specific file formats.

You have a right to lodge a complaint with your national data protection authority. For more information, please see https://ec.europa.eu/info/law/law-topic/data-protection/reform/rights-citizens/redress/what-should-i-do-if-i-think-my-personal-data-protection-rights-havent-been-respected_en. For more information for residents of the United Kingdom, please see <https://ico.org.uk>.

Mackenzie may modify or amend this privacy notice from time to time. The new modified or amended privacy notice will apply from that revision date. For information on Mackenzie’s Canadian privacy policy, please see <https://www.mackenzieinvestments.com/en/institutional/legal/privacy-protection-notice>.